The Weighting is the Hardest Part

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The Weighting is the Hardest Part:

An example of the correct use of P.U.M.S. data files

(Even for people who have never *really* used SAS!)

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Abstract:

The P.U.M.S. dataset is a rich source of valuable information, but using the data is not as straightforward as with many other Census Bureau data products because of the weights involved. A working knowledge of weights and weighting is essential to the correct analysis of these data. This short course will explain a little of the "why" and a lot of the "how" with regards to weights and their use using popular commercial software.

Introduction:

A simple example of cell weights:

Weights are generated to compensate for different response rates from different groups when it is known that members of the different groups respond similarly to other members of that group, but respond differently from the members of other groups. These weights are commonly referred to as "cell weights."

If gender, for instance, is considered a potential source of bias, the researcher may use gender as a weight generating factor.

For example, if we know that the male/female breakdown for the population is 50/50 and the sample we collect has response rates of 83% from males and 75% from females, then sample weights could be calculated as:

1/.83 = 1.204819 for males and 1/.75 = 1.333333 for females

So for a population of, say, 10,000 people, we might assume that the population actually includes approximately 5,000 males and 5,000 females.

If, for our sample, at these response rates, we get 4,150 males and 3,750 females. Adjust these using the weights to get: 4150*1.204819 = 4999.999 males 3750*1.333333 = 4999.999 females

Consequences of Ignoring Weights

A simple grade prediction model using NCES (education) data will demonstrate that differences may be observed when probabilistically sampled data are analyzed in three different ways. As a foil to this demonstration, an ordinary least squares regression model will be created and used to predict students' grades using independent variables for race, income and television.

The model used to illustrate the impact of weights is: Grades = Race, Income, TV

In the first analysis, no weights will be used. In the second analysis, the only Final Child weight will be used. In the third analysis, both the Final Child weight and the replicate weights will be used (this is the correct analysis). AM Statistical Software performs the analyses using ordinary least squares regression for the first two analyses and a Jackknife method for the last, replicated regression analysis. AM Statistical Software Beta Version 0.06.03 (c) was developed by The American Institutes for Research (A.I.R.) and Jon Cohen.

The Data

The analyses use data from the National Household Education Surveys Program of 2007, Parent and Family Involvement in Education Survey (PFI-NHES: 2007).

The model Grades = Race, Income, TV will be specified using the following variables:

1. **SEGRADES**: Overall, what are the child's grades across all subjects?

<u>Response</u>	Value
Mostly A's	1
Mostly B's	2
Mostly C's	3
Mostly D's	4

2. **CBLACK** – Is the child Black or African American?

<u>Response</u>	Value
Yes	1
No	2

 TVWKDYNU – How much time does the child spend watching television or videos on a typical weekday?

<u>Response</u>	Value
1-16	1-16

4. **HINCOME** – What is the total household income?

<u>Response</u>	Value
\$5,000 or less	1
\$5,001-\$10,000	2
\$10,001-\$15,000	3

\$15,001-\$20,000	4
\$20,001-\$25,000	5
\$25,001-\$30,000	6
\$30,001-\$35,000	7
\$35,001-\$40,000	8
\$40,001-\$45,000	9
\$45,001-\$50,000	10
\$50,001-\$60,000	11
\$60,001-\$75,000	12
\$75,001-\$100,000	13
Over \$100,000	14

Note: the following results are generated solely for the purpose of demonstrating the differences observed when data of this type are analyzed in different ways. The reader should attempt no further interpretation of the models presented here as none of the underlying assumptions of the models has been checked.

The A.M. output for the three analyses is:

Model: SEGRADES = CBLACK HINCOME TVWKDYNU

Regression: No Weights

Parameter	Estimate	SE	t	p > t
Constant	2.860	0.081	35.120	0.000
CBLACK	-0.078	0.042	-1.879	0.060
HINCOME	-0.022	0.004	-5.436	0.000
TVWKDYNU	0.428	0.018	23.608	0.000

Regression: Final Weight Only

Parameter	Estimate	SE	t	p > t
Constant	2.913	0.118	24.611	0.000
CBLACK	-0.051	0.059	-0.863	0.388
HINCOME	-0.033	0.006	-5.942	0.000
TVWKDYNU	0.394	0.024	16.154	0.000

Replicated Regression: All Weights

Parameter	Estimate	SE	t	p > t
Constant	2.913	0.130	22.434	0.000
CBLACK	-0.051	0.063	-0.807	0.422
HINCOME	-0.033	0.005	-6.324	0.000
TVWKDYNU	0.394	0.023	16.922	0.000

AM Statistical Software Beta Version 0.06.03 (c) The American Institutes for Research and Jon Cohen

For simplicity, define the three weighting levels as:

Level 1 – No weights are used. Level 2 – Only Final Weight is used. Level 3 – Both Final Weight and Replicate Weights are used.

The value in the table below represents the weighting levels that produce a change when moving from the previous level. For instance, a value of "2" indicates that a change is noted when the method of analysis moves from level 1 (no weights) to level 2 (final weight only). A value of "2, 3" indicates a change for that statistics when moving among all 3 levels of weighting.

Parameter	Estimate	SE	t	p > t
Constant	2	2, 3	2, 3	2, 3
CBLACK	2	2, 3	2, 3	2, 3
HINCOME	2	2, 3	2, 3	2, 3
TVWKDYNU	2	2, 3	2, 3	2, 3

Summarizing, as we move from the use of no weights to the use of only the final weight to the use of both the final weight and the replicate weights (the correct analysis), the regression coefficients change with the use of the final weight, but remain the same when the replicate weights are used. This demonstrates that parameter estimates can be correctly calculated using only the final weight. The final weight alone does not, however, allow for the correct calculation of the standard error necessary for inference. This requires some form of variance estimation such as replication.

Using Data from Complex Samples

The first task with any database is to determine if weights are needed in the analysis. If weights are required, we must then determine what type of weights are involved. If the only weights required are cell weights (aka "final weight," "child weight," "household weight," "hospital weight," etc.) then the analysis can be done in SPSS or any other standard statistical package that allows weights. If, in addition to the final weight, the sample requires replicate weights (which, in my experience, are always called "replicate weights") specialized software such as SAS, Wesvar, AM, or Stata must be used.

Data Analysis using PUMS Data

The P.U.M.S. data use both cell and replicate weights. To illustrate the correct use of the PUMS data and accompanying weights, we will use SAS to analyze the AGEP variable in the 2012 Alabama file by creating age categories and then constructing simple frequencies for these categories.

1) Download the data at: <u>http://www.census.gov/acs/www/data_documentation/pums_data/</u>. For this example, we will use the 2012 ACS 1-year P.U.M.S. data.

oartment of Commerce			Home Blogs About Us Index A to Z Glos
ensus	People Business Geography	Data Research Newsroom	Q Search
American Communit	y Survey > Data & Documentation: Public Use Microdata Samp Dommunity Survey Guidance for Data Users V Data & Docum	e(PUMS) > PUMS Data	Y
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 Data Product Descriptions 	Print 🛨 Share this page 🕂 Connect with	us	_
 Documentation 	Supporting documentation for the data below is a	vailable on the PUMS Documentation page.	Current Data Profiles
 Geography 			Social, Economic, Housing and
Downloadable data via FTP	PUMS Data 2000 - current	PUMS Data for ACS 1998	Demographic Characteristics
 Summary File 	Available through the American FactFinder	Florida [EXE 328KB]	United States 🔹 🗣
Public Use Microdata	website:	Nebraska [EXE 224KB]	Website Feedback
Sample (PUMS)	2008-2012 ACS 5-year PUMS	New York [EXE 142KB]	Tell us how to
About PUMS	2010 2012 ACC 2 year PUMS	Ohio [EXE 495KB]	make this website
 PUMS Data 	2012 ACS 1-year PUMS	Oregon [EXE 321KB]	Detter.
PUMS Documentation	2007-2011 AGS 5-year PUMS	South Carolina [EXE 372KB]	Data by Topic
PUMS on DataFerrett	2009-2011 ACS 3-year PUMS	Texas [EXE 1.4MB]	People
PUMS FAQs	2011 ACS 1-year PUMS	PUMS Data for ACS 1997	Age and Sex / Aging
 Custom Tabulations 	2006-2010 ACS 5-year PUMS		Ancestry Disobility
	2008-2010 ACS 3-year PUMS	FIORIDA [EXE 398KB]	Commuting to Work
	2005 2009 ACS 5 year PLIMS	New York [EXE 217KB]	Education
	2007-2009 ACS 3-year PUMS	Ohio [EXE 852KB]	Employment Eamily/Relationships
	2009 ACS 1-vear PUMS	Oregon [EXE 321KB]	Health Insurance
	2006-2008 ACS 3-year PUMS	Texas [EXE 2.3MB]	Income and Earnings
	2008 ACS 1-year PUMS		Language Origins
	2005-2007 ACS 3-year PUMS	PUMS Data for ACS 1996	Origins Population Change

The data are available as either a .csv file or as a SAS dataset. We will choose the SAS dataset.

Search - Use the options	on the left (topics, geographies,) to harrow your search results		
Your Selections	Recommendations (2)		
Search using Product Type: Public Use Microdata Sample (*) Dataset: 2012 ACS 1-year estimates (*) clear all selections and	The 2012 American Community Survey 1-year estimates provide detailed social, economic, demographic, and housing data for areas with populations of 65.000 or more. Learn more about the ACS by visiting our website. American Community Survey website The 2017-2011 American Community Survey 5-year estimates provide detailed social, economic, demographic, and housing data for areas as small as census addition, 5-year estimates are available for health insurance coverage, addition, 5-year estimates are not available for health insurance coverage, action of the ACS Summary File.		
start a new search	Search Results: 1-2 of 2 tables and other products match 'Your Selections' per page: 😕 🗩		
Search using the options below:	topic or table name state, countly or place		
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Let's work with the Alabama Population Records:

All files below are provided in SAS format. The 2012 ACS 1-year PUMS are also available in comma separated value (CSV) format.

	United States Population Records	United States Housing Unit Records
(Alabama Population Records	Alabama Housing Unit Records
	Alaska Population Records	Alaska Housing Unit Records
	Arizona Population Records	Arizona Housing Unit Records
	Arkansas Population Records	Arkansas Housing Unit Records
	California Population Records	California Housing Unit Records

United	States Population Records	United States Housing Unit Records						
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2)

Move both of these files to an easily located folder. The act of moving the files to another folder will extract the files for most users. Notice the file sizes before and after the move. If the file is larger after the move, then it is extracted and ready to use. If the file size remains the same, then use the "Extract all files" button in this window. The README.pdf file is an important reference document. Critically, it contains instructions for using the full United States file, not covered in this tutorial. Do not open the .sas7bdat file. We will use it in our SAS program in the next step.



The Program

3) The SAS code below is modified version of a program on the Bowling Green State University website. The original program, along with some additional documentation can be found at: http://www2.bgsu.edu/downloads/cas/file75747.pdf.

```
*1; ODS HTML CLOSE;
*2; ODS HTML;
*3; dm log 'clear' output;
*4; libname use 'C:\Users\gbell\Desktop\PUMS Presentation';
*5; data pums2012;
*6; set use.psam p01;
*7; if agep in(0,1,2,3,4) then agecat=1;
*8; if agep in(5,6,7,8,9) then agecat=2;
*9; run;
*10; proc sort; by agecat;
*11; run;
*12; proc surveyfreq data=pums2012;
*13; tables agecat;
*14; weight pwgtp;
*15; run;
*16; proc means data=pums2012 noprint; where agecat=1;
*17; by agecat;
*18; var pwgtp pwgtp1-pwgtp80;
*19; output out=weights sum=est rw1-rw80; run;
*20; run;
*21; data weights2 (keep=char est se cv);
*22; set weights end=eof;
*23; if n =1 then sdiffsq=0;
*24; array repwts {*} est rw1-rw80;
*25; do i = 2 to 81;
*26; sdiffsq = sdiffsq + (repwts {i} - repwts{1})**2;
*27; end;
*28; if eof then do;
*29; var = (4/80)*sdiffsq;
*30; se = (var) **.5;
*31; cv = se/est;
*32; length char $20.;
*33; char = "age 0 to 4";
*34; output;
*35; end;
*36; run;
*37; proc print data=weights2;
*38; var char se;
*39; run;
```

- **4)** To use the above program, open SAS and then copy and paste the above lines (1 through 36) into your SAS editor. Immediately save and name the program by selecting "File" and "Save As." You will need to make a few changes to the code so that it will run on your machine.
- 5) Begin by locating the SAS dataset (psam_p01.sas7bdat) you downloaded and extracted. Right-click on the file and select "Properties."



6) While depressing the left-click button on your mouse, drag over the location for the folder that contains the SAS dataset.



Paste this location between the single quotes in the libname statement (line *4; of the code.) Your location will, of course, be different from mine!

*3;	dm log 'clear' output;
*4;	libname use C:\Users\gbell\Desktop\PUMS Presentation';
⊡*4; *5•	data pums2012;

7) Now copy the name of the SAS dataset from the same properties window as above.

i psam_p01.sa	s7bdat Properties
General Secu	ity Details Previous Versions
	psam_p01)sas7bdat
Type of file:	SAS Data Set (.sas7bdat)
Opens with:	7 The SAS System for Wine Change
Location:	C:\Users\gbell\Desktop\PUMS Presentation
Size:	30.8 MB (32,350,208 bytes)

8) Paste the SAS dataset name in the data statement (line *6; of the code.) Note: you will only need to change this if you are using a different PUMS file.

*4;	libname use 'C:\Users\g	bell/Desktop/PUMS	Presentation';
⊡*5:	data nums2012:		
	adda pambzoiz,		
*6;	set use osam_p01		
*7;	if agep in(0,1,2,3,4) t	hen agecat= 1;	
*8;	if agep in(5,6,7,8,9) t	hen agecat=2;	

9) Under the File menu, choose "Save."

10) At this point, your program should be ready to run. To run the program, click the "running SAS programmer" button in the SAS window.



11) The results are shown below. We are primarily interested in two values on this page. The estimate (298738) and the standard error (2315.34.)

The SAS System									
The SURVEYFREQ Procedure									
	Num	ber of Obse	47819						
	Sum	of Weights	22023						
		Table o	f agecat						
agecat	Frequency	Weighted Std Dev Frequency Wgt Fr		of eq	Percen	t Std Err o			
1	2445	298738	66	83 48.641		6 0.924			
2	2655	315424	6893		51.358	4 0.924			
Total	5100	614162	7430		100.00	0			
	F	reauencv Mi	ssina = 4	127	19				



12) It has taken a number of steps to get to this point. Fortunately, we have a way to check our numbers. Browse to:

http://www.census.gov/acs/www/data_documentation/pums_documentation/

		~	~	~	·	· · · · · · · · · · · · · · · · · · ·				×			
Downloadable data via FTP	2012	2011	2010	2009	2008	2007	2006	2005	All				
Summary File			<u> </u>		·								
Public Use Microdata	Subje A list	Subjects in the PUMS A list of topics included in each of the housing and population record files 2008-2012 5-year Subjects [PDF 41KB] 2010-2012 3-year Subjects [PDF 72KB] 2012 1-year Subjects [PDF 40KB]						PUMS ReadMe					
About PUMS	popul							geographies, and variable changes.					
PUMS Data	2008							2008-2012 5-year PUMS ReadMe [PDF 74KB] 2010-2012 3-year PUMS ReadMe [PDF 77KB] 2012 1-year PUMS ReadMe [PDF 77KB]					
PUMS Documentation	2010												
PUMS on DataFerrett	Code I	Code Lists						y of the P	UMS				
PUMS FAQs	Detai	led codes	for variab	les that co	ontain a la	rge	A basic explanation of the sample design,						
Custom Tabulations	occup	er of code	ses, such a	as ancestr	estimation methodology, and accuracy of the data								
	2008	2008-2012 5-year Code Lists [PDF 4.4MB]						2008-2012 ACS 5-year PUMS Accuracy [PDF 1.3MB] 2010-2012 ACS 3-year PUMS Accuracy [PDF 1.2MB]					
	2010	2010-2012 3-year Code Lists [PDF 4.3MB]						2012 PUMS Accuracy [PDF 1.2MB]					
	2012	2012 1-year Code Lists [PDF 3.8MB]						PUMS Estimates for User Verification:					
	PUMS Top Coded and Bottom Coded Values List of variables with responses exceeding a state- specific value that are replaced with a						Estimates for selected characteristics provided to assist data users in determining if they are correctly using the weights to compute estimates						
	prede	predetermined value						Note that some of these estimates may be different from the estimates for the same characteristics published in the					
	2012	2012 Top and Bottom Coded Values [PDF 1.6MB]						American FactFinder. For an explanation of these differences, see the Accuracy of the PUMS above.					
	PUMS	Data Dict				2008-2012 PUMS Estimates for User Verification • PUMS estimates [SAS 160KB] • PUMS estimates [LST 177KB] • PUMS estimates [CSV 88KB]							
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	2010												
	2012	2012 1-year Data Dictionary [PDF 353KB]											
	2012 PUMS Estimates for User <u> <u> </u> </u>								r User Verification SAS 160KB]				
								PUMS estimates [LS] 154KB] PUMS estimates [cSV 88KB]					

Scroll down to Alabama to see:

State of current residence=01 State=Alabama			
Characteristic	2012 PUMS Estimate	2012 PUMS SE	2012 PUMS MOE
Total population	4,822,023	0	0
Housing unit population (RELP=0-15)	4,707,169	0	0
GQ population (RELP=16-17)	114,854	0	0
GQ institutional population (RELP=16)	67,094	7	11
GQ noninstitutional population (RELP=17)	47,760	7	11
Total males (SEX=1)	2,332,059	3550	5839
Total females (SEX=2)	2,489,964	3550	5839
Age 0-4	298,738	2315	3809
Age 5-9	315,424	5890	9689
Age 10-14	322,583	5607	9224

Since these numbers match our results, our process is validated!

13) Some brief notes about the rest of this program:

Lines 1 through 3 simply clear the output and log so that subsequent runs of the program will not stack old upon new.

Line 4, the libname statement, assigns an internal name for the location (path and folder) of the SAS dataset we are about to use. I use the name "use," but you can change this to "bob" or "carol" or just about anything you wish. Multiple libname statements need multiple libnames, so use1, use2, etc. We only need one in this case.

Line 5, the data statement, names the SAS dataset we are about to create. The user chooses this name. I chose to call the dataset "pums2012."

Line 6, the set statement, tells SAS to create the new dataset from "psam_p01," the dataset we downloaded, which is now located in "C:\Users\gbell\Desktop\PUMS Presentation," the directory referenced in the libname statement.

Lines 7 and 8, combine data to create age categories. Category 1 is ages 0 through 4. Category 2 is ages 5 through 6. We only create the first two categories for this example.

Line 9, the run statement, tells SAS to finish the preceding step before beginning the next step. This will be true of all subsequent run statements.

Line 10 sorts the data by age categories.

Line 12 uses the Surveyfreq procedure in SAS to analyze the data. Proc Suveyfreq is a specialized procedure in SAS that correctly handles many of the needs of survey data users.

Line 13 tells proc surveyfreq to create a frequency table using the grouping variable "agecat."

Line 14 tells proc surveyfreq to use the variable "pwgtp" as the cell weights when calculating the estimate.

Line 16 begins the process of creating the standard errors. The casual user need not be concerned with the details of this process. **However**, if you changed the name the dataset in line 5, you will need to change the name after the "data=" part of this line to match the name in line 5. The where statement tells SAS to only do this for observations where agecat=1.

Line 17 tells SAS to perform the actions in line 16 for each value of the variable "agecat." That is, calculate separate estimates for agecat=1 and agecat=2. Since we are only dealing with agecat=1, this line is unnecessary. I have included here only illustrate the method for calculating estimates for additional age categories.

Line 18 tells SAS to use the weights in the dataset as variables in this part of the process. The variable "pwgptw" is the cell weight and "pwgptw1" through "pwgptw80" are the replicate weights.

Line 19 outputs these calculations to a new dataset called "weights" and names the sums of these variables "est" and "rw1, rw2... rw80."

Lines 21 through 36 use the sums created above to calculate the standard error using the formula in the technical documentation. Among other places, the formula appears in the "readme" file that accompanied the original download of the data. It is:

$$SE(X) = \sqrt{\frac{4}{80} \sum_{r=1}^{80} (X_r - X)^2}$$

Where X_r is a replicate estimate from X_1 to X_{80} , and X is the full PUMS weighted estimate.

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http://www.census.gov/acs/www/Downloads/handbooks/ACSPUMS.pdf

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